

## 2 CREDIT HOUR LIVE WEBINAR PRESENTATION

### “Ethics CE: CFP Boards Revised Code and Standards”

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#### COURSE OVERVIEW

This webinar is approved by the Certified Financial Planner Board of Standards, Inc. and fulfills the requirement for CFP Board approved Ethics CE. It is designed to educate CFP® professionals on CFP Board’s Revised *Code of Ethics and Standards of Conduct*. (CFP® course #: 277681) \*

#### LEARNING OBJECTIVES

By the end of this program, participants should be equipped to:

- **LO 1:** Recognize when a CFP® professional is providing Financial Advice.
- **LO 2:** Apply the Fiduciary Duty.
- **LO 3:** Describe the Financial Planning process.
- **LO 4:** Determine what information must be provided to the Client and when to document the exchange of information
- **LO 5:** Identify duties when using or referring other service providers or technologies.
- **LO 6:** Describe the CFP Board enforcement process.

#### WEBINAR INCLUDES

- 6 learning objectives (listed above)
- 12 required exercises
- 25 real-world, interactive scenarios
- 9 polling questions
- CFP Board Evaluation Survey at the conclusion of the presentation.  
The link to the evaluation survey is: [brokered.net/evaluation/cfp/](https://brokered.net/evaluation/cfp/). It will also be in the support email sent to each attendee within the pdf copy of the PowerPoint presentation slide deck. (2<sup>nd</sup> to last slide.)
- 2024 A Companion Guide to Ethics CE

**\*CFP®:** This webinar is approved by the Certified Financial Planner Board of Standards, Inc. and fulfills the requirement for CFP Board approved Ethics CE. Certified Financial Planner Board of Standards Inc. owns the certification marks CFP®, CERTIFIED FINANCIAL PLANNER™, CFP®, and CFP® in the U.S., which it awards to individuals who successfully complete CFP Board’s initial and ongoing certification requirements.

**IWI:** Investments & Wealth Institute™ (IWI) has accepted this CFP® Ethics webinar for 2 hours of CE credit towards the IWI certifications. (CIMA®, CPWA® and RMA®)

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